

FORM PTO-1390 (REV. 10-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 441/1/002
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. 10 known, see 37 CFR 1.5 097890483
INTERNATIONAL APPLICATION NO. PCT/JP01/00130	INTERNATIONAL FILING DATE January 12, 2001	PRIORITY DATE CLAIMED January 14, 2000	
TITLE OF INVENTION METHOD OF MANUFACTURING FERRULE			
APPLICANT(S) FOR DO/EO/US Shinichi OKAMOTO			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
Items 11 to 20 below concern document(s) or information included:			
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>a) Form PCT/RO/101; b) Form PCT/ISA/210;</p> <p>c) Application Data Sheet.</p>			

Page 1 of 1

Certificate of Mailing By Express Mail Under 37 CFR 1.10

Express Mail "Mailing Label No.": ET113695281US  
Date of Deposit: JULY 30, 2001  
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on JULY 30, 2001.

Richard M. Goldberg  
(Name of Registered Representative  
and person mailing)

Richard M. Goldberg July 30, 2001  
(Signature and Date)

U.S. APPLICATION NO. <b>097890483</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP01/00130</b>		ATTORNEY'S DOCKET NUMBER <b>441/1/002</b>	
<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00</p> <p><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> \$ <b>860.00</b></p>				<p><b>CALCULATIONS PTO USE ONLY</b></p>	
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30</p>				<p>\$</p>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	20 - 20 =	0	x \$18.00	\$ 0	
Independent claims	1 - 3 =	0	x \$80.00	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ <b>860.00</b>	
<p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p>				+ \$ 430.00	
<b>SUBTOTAL =</b>				\$ <b>430.00</b>	
<p>Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30</p>				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ <b>430.00</b>	
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p>				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ <b>470.00</b>	
				Amount to be refunded:	\$
				charged:	\$ <b>470.00</b>
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>07-1524</u> in the amount of \$ <u>470.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>07-1524</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Richard M. Goldberg 25 East Salem Street Suite 419 Hackensack, New Jersey 07601</p>			<p><i>Richard M. Goldberg</i> SIGNATURE</p> <p><u>Richard M. Goldberg</u> NAME</p> <p><u>28,215</u> REGISTRATION NUMBER</p>		

097890483-073001

09/890483

**GOLDBERG PATENT LAW OFFICE**

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HACKENSACK, NEW JERSEY 07601

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MEMBER NEW JERSEY AND  
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July 30, 2001

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: New U.S. Patent Application  
Applicant : Shinichi OKAMOTO  
Title : METHOD OF MANUFACTURING FERRULE  
My File : 441/1/002

Certificate of Mailing By Express Mail Under 37 CFR 1.10

Express Mail "Mailing Label No.": ET113695281US  
Date of Deposit : JULY 30, 2001  
I hereby certify that this paper and/or fee is being deposited with the  
United States Postal Service "Express Mail Post Office to Addressee"  
service under 37 C.F.R. 1.10 on the date indicated above and is  
addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C.  
20231 on JULY 30, 2001.

Richard M. Goldberg  
(Name of Registered Representative  
and person mailing)

*Richard M. Goldberg*  
(Signature and Date)

July 30,  
2001

Dear Sirs:

Transmitted herewith for filing in the U.S. Patent and Trademark  
Office (entering the national phase in a PCT application  
(PCT/JP01/00130) 00/31574) is a new application including:

- a) Form PTO-1390 (in duplicate), with authorization to charge  
\$470.00 to Deposit Account No. 07-1524;
- b) Original filed Japanese language PCT application of fourteen  
(14) pages, with eight (8) claims, including eight sheets of  
drawings;
- c) English-language translation of original application;
- d) Eight (8) sheets of formal drawings;
- e) Application Data Sheet;

09/890483 "073001"

Assistant Commissioner for Patents  
July 30, 2001  
Page 3

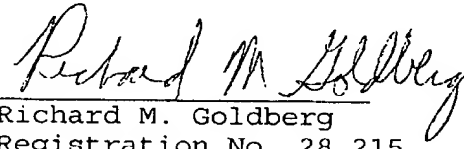
- f) Executed Declaration and Power of Attorney;
- g) Assignment and Recordation Form Cover Sheet;
- h) Form PCT/RO/101 - Request Form - in Japanese;
- i) Form PCT/ISA/210 - International Search Report performed by Japanese Patent Office and Annex thereto, in Japanese, and English-language translation thereof;
- j) Preliminary Amendment; and
- k) Information Disclosure Statement, one Form PTO-1449 and 3 references.

It is respectfully requested that the above-identified PCT application be granted a filing date within the July 14, 2002 convention term (30 months), based on PCT Application No. PCT/JP01/00130, filed January 12, 2001.

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 07-1524.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1524.

Respectfully submitted,

  
Richard M. Goldberg  
Registration No. 28,215

RMG:mz  
enclosures

GOLDBERG PATENT LAW OFFICE